

1 TREATMENT OF TUMORS WITH ACETYLENES DISUBSTITUTED WITH  
2 A PHENYL OR HETEROAROMATIC GROUP AND A SUBSTITUTED  
3 CHROMANYL, THIOCHROMANYL OR TETRAHYDROQUINOLINYL  
4 GROUP IN COMBINATION WITH OTHER ANTI-TUMOR AGENTS

5

6 BACKGROUND OF THE INVENTION

7 1. Field of the Invention

8 The present invention relates to the use of acetylenes disubstituted  
9 with a phenyl or heteroaromatic group and a substituted chromanyl,  
10 thiochromanyl or tetrahydroquinolinyl group for the treatment of tumors in  
11 combination with other anti-tumor agents. More particularly the present  
12 invention relates to the use of ethyl 6-[2-(4,4-dimethylthiochroman-6-  
13 yl)ethynyl]nicotinate for the treatment of malignancies, particularly carcinoma  
14 of the breast and human myeloid leukemia, in combination with interferons  
15 and other anti-tumor agents.

16 2. Background Art

17 Naturally occurring retinoic acid and related compounds, generally  
18 called retinoids, have been known in the pharmaceutical, medical and related  
19 arts to have of important biological activity, including prevention and  
20 inhibition of malignant cell proliferation. A vast volume of patent and  
21 scientific literature exists describing the synthesis of retinoid compounds,  
22 their biological activities and investigations aimed at discovering the varying  
23 modes of action of retinoids in human and other biological systems, *in vitro*  
24 and *in vivo* as well.

25 Specifically, it is generally accepted in the art that in the anti-cell-  
26 proliferative or anti-tumor field, pharmaceutical compositions having a  
27 retinoid-like compound or compounds as the active ingredient are useful for  
28 treating or preventing hyperproliferative disorders of the skin, and other  
29 premalignant and malignant hyperproliferative diseases such as cancers of the

1 breast, skin, prostate, cervix, uterus, colon, bladder, esophagus, stomach, lung,  
2 larynx, oral cavity, blood and lymphatic system, metaplasias, dysplasias,  
3 neoplasias, leukoplakias and papillomas of the mucous membranes and in the  
4 treatment of Kaposi's sarcoma. Still more specifically, there are published  
5 reports in the art that certain retinoid compounds act additively and some even  
6 synergistically with other known anti-tumor chemotherapeutic agents, such as  
7 interferons and other drugs, in several carcinoma of the breast cell cultures to  
8 suppress or inhibit the proliferation of the cancer cells. The publication by  
9 *Fanjul et al.* in Cancer Research 56, 1571 - 1577 (1996) describes assays of  
10 several retinoid compounds, including a compound designated in the  
11 publication as SRI 11220 in combination with interferon in several carcinoma  
12 cell lines, and states that in some of the cell lines the anti-proliferative activity  
13 of the compound SRI 11220 and interferon was synergistic. The structure  
14 of this prior art compound SRI 11220 is shown below.

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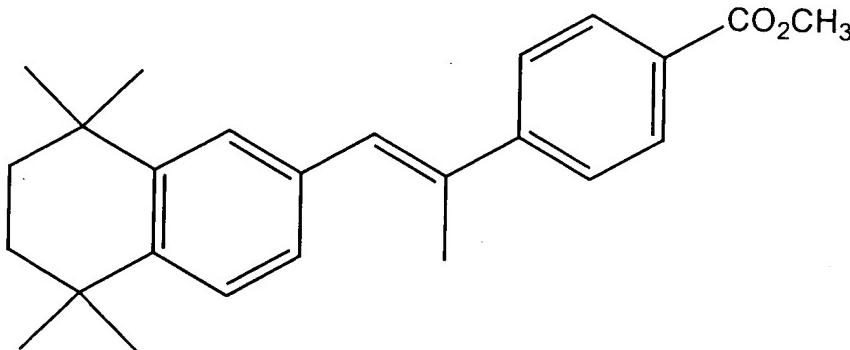
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SRI 11220 (Prior Art)

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24 A publication by *Toma et al.* in International Journal of Oncology 10:  
25 597 - 607 (1997) describes synergistic effects of certain other retinoids, such  
26 as all trans retinoic acid (tRA) with  $\alpha$  interferon ( $\alpha$  IFN) and synergistic effect  
27 with other chemotherapeutic agents such as tamoxifen (TAM) in MCF-7  
28 human breast cancer lines. As further background to the present invention it

1 is noted that a publication by *Kurbacher et al.* in Cancer Letters 103 (1996)  
2 183 - 189 describes synergistic action of vitamin C with certain  
3 chemotherapeutic anti-tumor agents in MCF-7 and MDA-MB 231 human  
4 carcinoma cell lines.

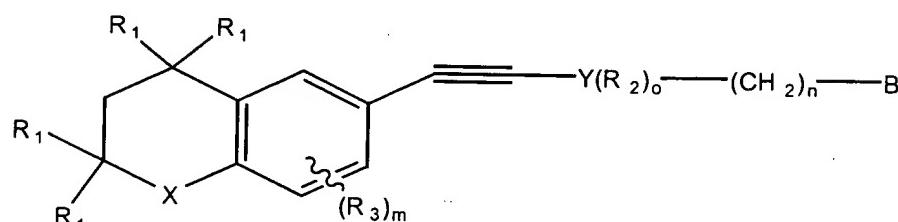
5 United States Patent Nos 4,810,804, 4,980,369, 5,045,551, and  
6 5,089,509 describe acetylenes disubstituted with a phenyl or heteroaromatic  
7 group and a substituted chromanyl, thiochromanyl or tetrahydroquinolinyl  
8 group having retinoid like activity. United States Patent Nos. 5,602,130 and  
9 6,090,826 disclose a method of treating diseases or conditions susceptible to  
10 treatment by retinoids, with acetylenes disubstituted with a heteroaromatic  
11 group and a substituted chromanyl, thiochromanyl or tetrahydroquinolinyl  
12 group. United States Patent No. 5,089,509 is of particular relevance as  
13 background to the present invention, because it discloses the synthesis of  
14 ethyl 6-[2-(4,4-dimethylthiochroman-6-yl)ethynyl]nicotinate which is the  
15 preferred compound used in the method of treatment of the present invention.  
16 Ethyl 6-[2-(4,4-dimethylthiochroman-6-yl)ethynyl]nicotinate is also known by  
17 its trade name TAZAROTENE®, and is often referred to in the present  
18 specification (including the drawing figures) simply as "tazarotene".

DOVER 2000

## SUMMARY OF THE INVENTION

The present invention relates to the use of the compounds of Formula

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## FORMULA 1

11 **Sub A** where  $R_1$  is independently H or lower alkyl of 1 to 6 carbons;  
12        $R_2$  and  $R_3$  are independently H, lower alkyl of 1 to 6 carbons, F, Cl,  
13 Br, I, alkoxy of 1 to 6 carbons, or fluoroalkoxy of 1 to 6 carbons;  
14        $m$  is an integer 0 to 3;  
15        $o$  is an integer 0 to 4;  
16        $n$  is 0-5;  
17        $Y$  is phenyl, naphthyl, or a heteroaryl group selected from a group  
18 consisting of pyridyl, thienyl, furyl, pyridazinyl, pyrimidinyl, pyrazinyl;  
19 oxazolyl, thiazolyl, or imidazolyl, and  
20        $B$  is COOH, a pharmaceutically acceptable salt thereof,  $CONR_6R_7$ , or  
21  $COOR_8$  where  $R_6$  and  $R_7$  independently are hydrogen or an alkyl group of 1  
22 to 6 carbons and  $R_8$  is alkyl of 1 to 6 carbons,  
23       for the treatment of a malignant tumor or condition in a mammal in  
24 need of such treatment, in combination with one or more other anti-tumor  
25 agent, preferably in combination with an interferon.

## BRIEF DESCRIPTION OF THE DRAWINGS

1  
2       **Figure 1** is a graph showing synergism in the anti-proliferative effects  
3       of a combination of the compound tazarotene (**Formula 3**) and of  $\alpha$   
4       interferon (IFN-a or IFN $\alpha$ ) in SK-BR-3 cells.

5       **Figure 2** is a graph showing the anti-proliferative effects of a  
6       combination of the compound tazarotene (**Formula 3**) and of  $\alpha$  interferon  
7       (IFN-a or IFN $\alpha$ ) in T-47D cells.

8       **Figure 3** is a graph showing synergism in the anti-proliferative effects  
9       of a combination of the compound tazarotene (**Formula 3**) and of  $\beta$   
10      interferon (IFN-b or IFN $\beta$ ) in SK-BR-3 cells.

11      **Figure 4** is a graph showing synergism in the anti-proliferative effects  
12      of a combination of the compound tazarotene (**Formula 3**) and of  $\beta$   
13      interferon (IFN-b or IFN $\beta$ ) in T-47D cells.

14      **Figure 5** is a graph showing synergism in the anti-proliferative effects  
15      of a combination of the compound tazarotene (**Formula 3**) and of  $\gamma$   
16      interferon (IFN-g or IFN $\gamma$ ) in SK-BR-3 cells.

17      **Figure 6** is a graph showing the anti-proliferative effects of a  
18      combination of the compound tazarotene (**Formula 3**) and of  $\gamma$  interferon  
19      (IFN-g or IFN $\gamma$ ) in T-47D cells.

20      **Figure 7** is another graph showing synergism in the anti-proliferative  
21      effects of a combination of the compound tazarotene (**Formula 3**) and of  $\alpha$   
22      interferon (IFN-a or IFN $\alpha$ ) in SK-BR-3 cells.

23      **Figure 8** is another graph showing the anti-proliferative effects of a  
24      combination of the compound tazarotene (**Formula 3**) and of  $\alpha$  interferon  
25      (IFN-a or IFN $\alpha$ ) in T-47D cells.

26      **Figure 9** is another graph showing synergism in the anti-proliferative  
27      effects of a combination of the compound tazarotene (**Formula 3**) and of  $\beta$   
28      interferon (IFN-b or IFN $\beta$ ) in SK-BR-3 cells.

29      **Figure 10** is another graph showing synergism in the anti-proliferative

1 effects of a combination of the compound tazarotene (**Formula 3**) and of  $\beta$   
2 interferon (IFN- $\beta$  or IFN $\beta$ ) in T-47D cells.

3       **Figure 11** is another graph showing synergism in the anti-proliferative  
4 effects of a combination of the compound tazarotene (**Formula 3**) and of  $\gamma$   
5 interferon (IFN- $\gamma$  or IFN $\gamma$ ) in SK-BR-3 cells.

6       **Figure 12** is another graph showing the anti-proliferative effects of a  
7 combination of the compound tazarotene (**Formula 3**) and of  $\gamma$  interferon  
8 (IFN- $\gamma$  or IFN $\gamma$ ) in T-47D cells.

9       **Figure 13** is a graph showing synergism in the anti-proliferative effects  
10 of a combination of the compound tazarotene (**Formula 3**) and of  $\alpha$   
11 interferon (IFN-alpha or IFN $\alpha$ ) in HL-60 cells.

12       **Figure 14** is a graph showing synergism in the anti-proliferative effects  
13 of a combination of the compound tazarotene (**Formula 3**) and of  $\beta$   
14 interferon (IFN-beta or IFN $\beta$ ) in HL-60 cells.

15       **Figure 15** is another graph showing synergism in the anti-proliferative  
16 effects of a combination of the compound tazarotene (**Formula 3**) and of  $\alpha$   
17 interferon (IFN-alpha or IFN $\alpha$ ) in HL-60 cells.

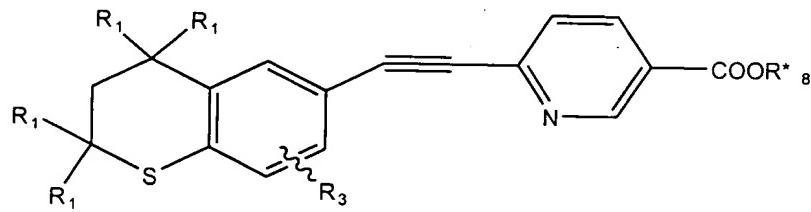
18       **Figure 16** is another graph showing synergism in the anti-proliferative  
19 effects of a combination of the compound tazarotene (**Formula 3**) and of  $\beta$   
20 interferon (IFN-beta or IFN $\beta$ ) in HL-60 cells.

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1                   COMPOUNDS USED IN THE METHODS OF  
2                   TREATMENT OF THE INVENTION

3                 The general formula of the compounds used in the methods of  
4                 treatment of the invention is shown in **Formula 1**. Among the compounds  
5                 shown in that formula, the use of those are preferred where the variable **Y**  
6                 designates pyridine. Even more preferred are those where the pyridine moiety  
7                 is 2,5 substituted. (Substitution in the 2,5 positions in the "pyridine"  
8                 nomenclature corresponds to substitution in the 6-position in the "nicotinic  
9                 acid" nomenclature.) As far as the  $(CH_2)_n$  group is concerned, compounds are  
10          preferred where **n** is 0. Preferably **B** is COOH or COOR<sub>8</sub> where **R**<sub>8</sub> is lower  
11          alkyl of 1 to 3 carbons. **R**<sub>1</sub> preferably designates H or methyl, and **R**<sub>2</sub> and **R**<sub>3</sub>  
12          are preferably H or lower alkyl. The variable **X** preferably represents S or O,  
13          still more preferably S.

14                 A more preferred group of compounds utilized in the methods of the  
15          invention is depicted by **Formula 2**



21                   **FORMULA 2**

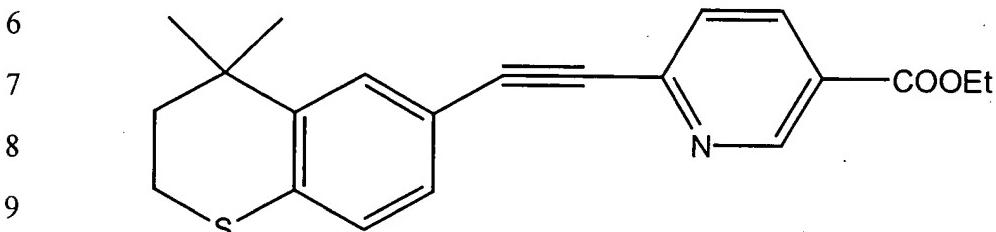
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23                 where **R**<sub>1</sub> is H or methyl, **R**<sub>3</sub> is H or methyl, and **R**\*<sub>8</sub> is H, or lower  
24          alkyl of 1 to 3 carbons, or a pharmaceutically acceptable salt of said  
25          compound. The compounds of **Formula 1** and of **Formula 2** can be  
26          obtained in accordance with the synthetic procedures described in United  
27          States Patent Nos. 4,810,804, 4,980,369, 5,045,551, and 5,089,509, each of  
28          which is expressly incorporated herein by reference.

29                 The presently most preferred compound used in the methods of

1 treatment of the present invention is ethyl 6-[2-(4,4-dimethylthiochroman-6-  
2 yl)ethynyl]nicotinate (tazarotene) the structure of which is disclosed by  
3 **Formula 3.** Tazarotene is described as example 6 in the specification of  
4 United States Patent No. 5,089,509.

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11 FORMULA 3 (tazarotene)

12 It should be understood in connection with the description of the  
13 compounds used in the methods of treatment of the present invention that a  
14 pharmaceutically acceptable salt is any salt which retains the activity of the  
15 parent compound and does not impart any deleterious or untoward effect on  
16 the subject to which it is administered and in the context in which it is  
17 administered. Pharmaceutically acceptable salts may be derived from organic  
18 or inorganic bases. The salt may be a mono or polyvalent ion. Of particular  
19 interest are the inorganic ions, sodium, potassium, calcium, and magnesium.  
20 Organic salts may be made with amines, particularly ammonium salts such as  
21 mono-, di- and trialkyl amines or ethanol amines. Salts may also be formed  
22 with caffeine, tromethamine and similar molecules.

23 It should be further understood in connection with the description of  
24 the compounds used in the methods of treatment of the present invention that  
25 in **Formulas 1 and 2**, the substituents **R<sub>2</sub>** and **R<sub>3</sub>** are optional, meaning that  
26 when the variables **m** and **o** have the value of 0 (zero), then the respective ring  
27 is hydrogen substituted; in other words the ring bears no **R<sub>2</sub>** or **R<sub>3</sub>** substituent  
28 other than hydrogen.

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## 1 ANTI-PROLIFERATIVE EFFECTS OF THE COMPOUNDS UTILIZED IN 2 THE METHODS OF TREATMENT OF THE INVENTION

The anti-proliferative effects of the compounds used in accordance with the invention are demonstrated by assay procedures well accepted in the art. These assays are performed on the preferred compound, tazarotene (the compound of **Formula 3**) without and also in combination with human recombinant  $\alpha$ ,  $\beta$  and  $\gamma$  interferon which are anti-tumor agents well known in the art. The materials and the assays procedures are described in detail below.

The SK-BR-3, T-47D and HL-60 cell cultures in which the assay procedures were performed are also well known and are available from sources well known in the art. Specifically, as is known, T-47D is an estrogen receptor positive ( $ER^+$ ) human breast cancer cell line, and SK-BR-3 is an estrogen receptor negative ( $ER^-$ ) human breast cancer cell line. HL-60 is a well known human myeloid leukemia cell line. The assay procedure for the breast cancer lines itself is well known in the art and involves determining incorporation of 5-bromo-2'-deoxyuridine (BrdU) into the cells. As is known, incorporation of less BrdU represents less cell proliferation (inhibition of cell proliferation), and this assay is accepted in the art as a measure of anti-proliferative or anti-tumor activity of the assayed agent or agents. The assay procedure for the HL-60 cell line is also well-known in the art. It involves measuring the concentration of formazan dye which is cleaved from 3-[4,5-dimethylthiazole-2-yl]-2,5-diphenyltetrazolium bromide by viable HL-60 cells.

When a combination of two or more anti-proliferative or potentially anti-proliferative agents is assayed, the results may indicate less inhibition of proliferation than what we would be expected if the effects of the individual agents were additive, or the effects may represent the mathematical product of the expected effects of the two agents (additive inhibition). Alternatively, the

1 inhibition actually observed experimentally may be greater than what would  
2 be expected as a simple product of the effects of the two agents. Such  
3 synergistic anti-tumor or antiproliferative effect is highly desirable, and as is  
4 described below was observed in several assays when tazarotene (**Formula 3**)  
5 was used in combination with human recombinant interferon. This synergistic  
6 effect of the compounds used in the invention with interferon in the treatment  
7 of malignancies, and especially in treatment of breast cancer and of acute  
8 human myeloid leukemia is not expected based on the prior art and is  
9 unobvious and surprising. The materials and procedures of the assays as well  
10 as the mathematical criteria for determining synergistic effects are described  
11 below.

12 **Materials, Assay Methods and Criteria for Determining Synergism**  
13 **Reagents**

14 The human recombinant interferon-alpha (IFN- $\alpha$ ) and human  
15 recombinant interferon-beta (IFN- $\beta$ ) were purchased from Sigma Chemicals  
16 Co. (St Louis, MO). Human recombinant interferon-gamma (IFN- $\gamma$ ) was  
17 purchased from Roche Diagnostics (Indianapolis, IN). The stock solutions  
18 were stored at -70, 4, and -20 °C for IFN- $\alpha$ , IFN- $\beta$  and IFN- $\gamma$ , respectively.  
19 IFN working solutions were prepared before use by dilutions in the culture  
20 medium. 5 mM stock solution for tazarotene (**Formula 3**) was prepared in  
21 DMSO, which was subsequently diluted in culture medium to the indicated  
22 final concentration.

23 **Culture of Breast Cancer Cell Lines**

24 The estrogen receptor-positive (ER $^+$ ) cell line T-47D and the ER $^-$  cell  
25 line SK-BR-3 were cultured in Dulbecco's modification of Eagle's medium  
26 (DMEM Gibco BRL, Gaithersburg, MD) supplemented with 10% fetal bovine  
27 serum (HyClone, Logan, UT), 2 mM L-glutamine and 1% antibiotics-  
28 antimycotics (Gibco BRL). Cell lines were obtained from the American Type

1 Culture Collection (ATCC, Rockville, MD, HTB-133 and HTB-30 for T-47D  
2 and SK-BR-3, respectively). Cells were cultured at 37 °C in a humidified  
3 atmosphere containing 5% CO<sub>2</sub>.

4 **Culture of HL-60 Acute Myeloid Leukemia Cells**

5 The human myeloid leukemia cell line HL-60 was cultured in Iscove's  
6 modified Dulbecco's medium (IMDM Gibco BRL, Gaithersburg, MD)  
7 supplemented with 10% fetal bovine serum (HyClone, Logan, UT), 2 mM L-  
8 glutamine and 1% antibiotics-antimycotics (Gibco BRL). HL-60 cells were  
9 obtained from the American Type Culture Collection (ATCC, Rockville, MD,  
10 CCL-240). Cells were cultured at 37°C in a humidified atmosphere containing  
11 5% CO<sub>2</sub>.

12 **Cell Proliferation Assay in Breast Cancer Cell Lines**

13 Proliferation of cancer cell lines was determined using a commercial  
14 cell proliferation kit (Roche Diagnostics), essentially following the  
15 instructions of the manufacturer. Cells were seeded into 96-well tissue culture  
16 plates (Corning Incorporated, Corning, NY) at a concentration of 3000  
17 cells/well. After 24 hours, cells were treated continuously with tazarotene  
18 (**Formula 3**) and/or interferons (IFNs) or solvent alone. The appropriate  
19 concentrations of tazarotene (**Formula 3**) used in this study were between  
20 10<sup>-11</sup>M and 10<sup>-6</sup>M; IFN concentrations were between 10 and 1000 Unit/ml.  
21 Culture media were changed every 72 hours. After 7 days, 10 µl of 5-bromo-  
22 2'-deoxyuridine (BrdU) was added to each well. Incubation with BrdU was  
23 stopped 24 hours later by adding 100 µl of anti-BrdU antibody to each well.  
24 The amount of BrdU incorporated into the DNA of proliferating cells was  
25 assessed by measuring absorbance at 450 nm. Each experiment was performed  
26 in triplicate in three independent experiments.

27 **Cell Proliferation Assay (MTT) in HL-60 Leukemia Cell Line**

28 Proliferation of the HL-60 leukemia cell line was determined by a cell

1 viability and non-radioactive commercial cell proliferation kit (MTT assay ;  
2 Roche Diagnostics, Indianapolis, IN), essentially by following the instructions  
3 of the manufacturer. Cells were seeded into 96-well tissue culture plates  
4 (Corning Incorporated, Corning, NY) at a concentration of 1000 cells/well.  
5 After 24 hours, the cells were treated continuously with tazarotene (**Formula**  
6 **3**) and/or IFNs or solvent alone. The appropriate concentrations of tazarotene  
7 (**Formula 3**) used in this study were between  $10^{-11}$ M and  $10^{-6}$ M ; IFN  
8 concentrations were between 0.1 and 1000 Unit/ml. Culture media were  
9 changed every 72 hours. After 6 days, 10  $\mu$ l of MTT (3-[4,5-dimethylthiazole-  
10 2-yl]-2,5-diphenyltetrazolium bromide) was added to each well. The reaction  
11 was stopped after 4 hours of incubation by adding 100  $\mu$ l of 10% SDS in 0.01  
12 M HCl. The quantification of viable cells, capable of cleaving MTT to form a  
13 formazan dye, was assessed by measuring absorbance at 590 nm. All  
14 determinations were performed in triplicate in three independent experiments.

15 **Criteria for Synergism**

16 The growth inhibition observed for a combined treatment with  
17 tazarotene (**Formula 3**) and IFNs was analyzed for both synergistic and  
18 additive effects. The criteria for these effects have been discussed by three  
19 different groups (*Aapro et al.*, Cancer Chemother. Pharmacol., 10: 161-166,  
20 1983, *Marth et al.*, J. Natl. Cancer Inst., 77:1197-1202, 1986, *Kurbacher et*  
21 *al.*, Cancer Letters, 103: 183-189, 1996). The mathematical multiplication of  
22 the two surviving fractions after the treatment of either with tazarotene  
23 (**Formula 3**) or with the respective interferon is the calculated value for simple  
24 additivity of both agents in combination. This calculated value is compared to  
25 the actual value observed to determine the nature of the combination effect.  
26 Statistical significance of synergistic effects is determined by using the two-  
27 sided Student's t-Test. Synergism or inhibition was determined for each  
28 experiment individually, with the *P* value being 0.05 in comparison to the

1 simple additivity hypothesis. **Table 1** below shows the mathematical  
2 expressions for the criteria of two agents being synergistic, additive,  
3 subadditive and antagonistic, respectively.

4 **Table 1. Definitions of drug combination effects<sup>a</sup>**

Synergistic	$SF_{A+B} < (SF_A) \times (SF_B)$
Additive	$SF_{A+B} = (SF_A) \times (SF_B)$
Subadditive	$SF_{A+B} > (SF_A) \times (SF_B)$ And $< SF_B$ when $SF_A > SF_B$
Antagonistic	$SF_{A+B} > (SF_A) \times (SF_B)$

5  
6  
7  
8  
9  
10 <sup>a</sup> SF<sub>A</sub>: Surviving fraction from treatment A; SF<sub>B</sub>: Surviving fraction from  
11 treatment B;

12 SF<sub>A+B</sub>: Surviving fraction from treatment A plus B.

13  
14 **Anti-Proliferative Effects Determined by the Assays**

15 Referring now to the graphs of **Figures 1 through 16**, each of these  
16 represents the results obtained in the above described assays where SK-BR-3,  
17 T-47D and HL-60 cells, respectively, were treated with a combination of  
18 tazarotene (**Formula 3**) and human recombinant interferon (IFN)  $\alpha$ ,  $\beta$ , and  $\gamma$ ,  
19 respectively. In the graphs of **Figures 1- 12**, pertaining to assays with SK-  
20 BR-3 cells and T-47D cells, the incorporation of 5-bromo-2'-deoxyuridine  
21 (BrdU) is plotted on the Y (vertical) axis and varying concentration of  
22 tazarotene (**Formula 3**) or varying concentration of IFN $\alpha$ . IFN $\beta$  or of IFN $\gamma$ ,  
23 respectively, is plotted on the X (horizontal) axis. The concentration of the  
24 interferons is expressed in international units, as is accepted in the art,  
25 whereas the molar concentration of tazarotene (**Formula 3**) is plotted on a  
26 logarithmic scale. Each graph includes a curve indicating results with one  
27 agent only, actual experimental results with the combination of the two  
28 agents (tazarotene and the respective interferon), and a theoretical curve  
29 which is calculated in the manner described above, assuming for the

1 calculation that the effects of the two agents would be simply additive. The  
2 incorporation of BrdU is plotted on a percentage basis relative to the situation  
3 when the agent of varying concentration in the respective graph was not used  
4 (0 concentration represents 100 % incorporation).

5 The graphs of **Figures 13 - 16** are analogous, except that in these graphs the  
6 quantity of viable cells capable of cleaving MTT to form formazan dye, as  
7 measured by the quantity of fomazan dye (itself measured by absorbance at  
8 590 nm) is plotted on the vertical (Y) axis.

9 Referring now specifically to the graph if **Figure 1**, in the assay of  
10 SK-BR-3 cells depicted in that graph the concentration of IFN $\alpha$  was 100  
11 International Units (U) per ml, and the concentration of tazarotene was varied.  
12 It can be seen on the graph that the experimentally or actually observed  
13 inhibition of cell proliferation was significantly greater (less BrdU  
14 incorporation) than with IFN $\alpha$  alone, and significantly greater than the  
15 theoretically additive curve, thus showing a synergistic effect of tazarotene  
16 (**Formula 3**) and IFN $\alpha$ . The graphs of **Figures 3 and 5**, similarly depict the  
17 results of assays in SK-BR-3 cells where the concentration of IFN $\beta$  or IFN $\gamma$   
18 was kept constant at 10U/ml and at 100U/ml respectively, and the  
19 concentration of tazarotene (**Formula 3**) was varied. The graphs of **Figures**  
20 **3 and 5** also show significant synergistic effect of the combination treatment.

21 The graphs of **Figures 7, 9, and 11** again disclose the results of assays  
22 with SK-BR-3 cells. In these assays the concentration of tazarotene  
23 (**Formula 3**) was kept constant at 10 nM, and the concentration of IFN $\alpha$ , IFN $\beta$   
24 or IFN $\gamma$  was varied between 0 to 1000 International Units (0 to 1000 U) per  
25 milliliter (ml). These graphs reveal striking synergism.

26 The graphs of **Figures 2, 4 and 6** disclose the results of assays with T-  
27 47D cells, where in analogy to the assays shown in graphs of **Figures 1, 3 and**  
28 **5** the concentration of tazarotene (**Formula 3**) was varied, and the

1 concentration of IFN $\alpha$ , IFN $\beta$  or IFN $\gamma$  was kept constant at 100 U/ml. The  
2 graphs of these figures also shows synergism, although not as striking as in the  
3 assays with SK-BR-3 cells.

4 The graphs of **Figures 8, 10 and 12** also disclose the results of assays  
5 with T-47D cells. In these assays, in analogy to the assays shown in graphs of  
6 **Figures 7, 9 and 11**, the concentration of tazarotene (**Formula 3**) was kept  
7 constant at 10 nM, and the concentration of IFN $\alpha$ , IFN $\beta$  or IFN $\gamma$  was varied  
8 between 0 to 1000 International Units (0 to 1000 U) per milliliter (ml). The  
9 graph of **Figure 8** (IFN $\alpha$ ) reveals weak synergism, and the graph of **Figure 10**  
10 (IFN $\beta$ ) shows significant synergism.

11 **Figures 13 - 16** pertain to assays with HL-60 acute myeloid leukemia  
12 cells. In the assays disclosed by **Figures 13 and 14**, the concentration of  
13 IFN $\alpha$  or IFN $\beta$  was kept consntant at 100 U/ml , and the concentration of  
14 tazarotene (**Formula 3**) was varied. In the assays disclosed by the graphs of  
15 **Figures 15 and 16** the concentration of tazarotene (**Formula 3**) was kept  
16 constant at 50 nM, and the concetration of IFN $\alpha$  or IFN $\beta$ , respectively, was  
17 varied between 0 to 1000 U/ml. In these assays also, significant synergism  
18 was observed.

19 The foregoing results and particularly the synergism in the anti-  
20 proliferative effects on the two solid tumor cancer cell lines and in the HL-60  
21 leukemia cells of tazarotene (**Formula 3**) and of human recombinant  
22 interferon is unexpected, surprising, and an indication that the compounds of  
23 **Formula 1** are useful for the treatment of diseases involving malignant cell-  
24 proliferation, such as solid tumors, particularly carcinoma of the breast, and  
25 leukemias, particularly acute myeloid leukemia. In fact, the foregoing assays  
26 indicated that the compounds of **Formula 1** are useful in combination therapy  
27 with interferon in breast cancer cell lines which are estrogen receptor positive  
28 (T-47D) and also in human breast cancer cell lines which are estrogen  
29 receptor negative (SK-BR-3).

## Methods of Treatment, Modes of Administration

The compounds of **Formula 1** may be administered systemically or topically, depending on such considerations as the condition to be treated, need for site-specific treatment, quantity of drug to be administered, and numerous other considerations. For the treatment of breast cancer and many other forms of solid tumors, as well as in treatment of leukemias, the compounds of **Formula 1** are more likely to be administered systemically, in a pharmaceutical composition containing such excipients or inert components which are well known in the art pertaining to chemotherapy of tumors. More specifically, if a compound of **Formula 1** is to be administered systemically, it may be confected as a powder, pill, tablet or the like or as a syrup or elixir suitable for oral administration. For intravenous or intraperitoneal administration, the compound will be prepared as a solution or suspension capable of being administered by injection. In certain cases, it may be useful to formulate these compounds by injection. In certain other cases, it may be useful to formulate these compounds in suppository form or as extended release formulation for deposit under the skin or intramuscular injection.

The compound of **Formula 1** will be administered as a  
chemotherapeutic agent for treatment of tumors in a useful therapeutic dose  
which will vary from condition to condition and in certain instances may vary  
with the severity of the condition being treated and the patient's susceptibility  
to treatment. Accordingly, no single dose will be uniformly useful, but will  
require modification depending on the particularities of the tumor or  
malignancy being treated. Such doses can be arrived at through routine  
experimentation. For the treatment of solid tumors and leukemias,  
particularly breast cancer and acute myeloid leukemia, it is anticipated that the  
compound of **Formula 1** will be administered for approximately 1 to 8 weeks  
to a patient in need thereof, in a dose that is effective to halt, slow the growth  
or dissipate the tumor or halt leukemia cell proliferation. Preferably, the

1 compound is to be administered orally, in a daily dose which preferably will  
2 be in the range of approximately 50 mg per day to 500 mg per day. Most  
3 preferably the compound used in the treatment will be tazarotene (**Formula**  
4 **3**).

5 Preferably the compounds of **Formula 1**, and most preferably  
6 tazarotene (**Formula 3**) will be administered in combination with other  
7 chemotherapeutic agents, such as interferons, preferably human recombinant  
8 interferon, or other known chemotherapeutic agents of malignancies. Other  
9 chemotherapeutic agents with which the compounds of **Formula 1** are likely  
10 to be used in combination therapy are tamoxifen and taxol. With the use of  
11 interferons and with certain other chemotherapeutic agents as well, a  
12 synergistic anti-proliferative, anti-tumor effect is likely to occur, as is  
13 demonstrated by the above described cell culture assay procedures. Again,  
14 when the compounds of **Formula 1** are used in a combination therapy, the  
15 useful therapeutic dose will vary from condition to condition and in certain  
16 instances may vary with the severity of the condition being treated and the  
17 patient's susceptibility to treatment. Accordingly, the required dose will be  
18 arrived at through routine experimentation, which is customary in the science  
19 of the chemotherapy of malignancies.

20 Generally speaking it is contemplated that in combination therapy and  
21 for the treatment of solid tumors and leukemias, the daily dose of the  
22 compound of **Formula 1** will be in the range of approximately 50 mg per  
23 day to 500 mg per day. The daily dose of the other chemotherapeutic agent or  
24 agents given in combination with the compound of **Formula 1** will depend on  
25 the nature of the chemotherapeutic agent or agents, and can be arrived by  
26 routine experimentation normally practiced in the art. When interferon is used  
27 for the treatment of solid tumors or leukemias, such as for example breast  
28 cancer or acute myeloid leukemia, in combination with the compounds of  
29 **Formula 1**, then the daily dose of the interferon is likely to be in the range of

1 approximately 1 to 9 million international units per day.

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